

November 28, 2019

New Shareholders Announcement

StormHarbour Japan, Ltd. is pleased to announce that it welcomes new shareholders; Concordia Financial Group Inc., and Simplex Financial Holdings Inc., in addition to existing shareholders, StormHarbour Securities UK Ltd. and Mercuria Investment Co. Ltd.

StormHarbour, which operates mainly in Tokyo, London, and New York, is pursuing localized investment banking from a client-centric perspective. We are striving to meet a wider range of client needs by developing business with various regional leading partners. We also believe StormHarbour global franchise will be able to offer a higher level of client service through a bottom-up value creation approach in each region.

At StormHarbour Japan, without any change in our management policy or structure, we will continue to offer and execute solutions tailored to our clients in order to enhance their corporate value, which is the core function of our investment banking business.